



PATENT APPLICATION

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Dennis D. Belden, Jr.

Serial No: 10/626,970

Examiner:

Filed: July 25, 2003

Art Unit:

For: BOTTLE SECURITY DEVICE

Docket: 1796034US1AP

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**Information Disclosure Statement**

1. Pursuant to 37 C.F.R. 1.97(b) this Statement is being filed (one must be checked):

(a)  Within 3 months of the filing date or date of entry into the National Stage.

(b)  Before the mailing date of a first Office Action on the merits. If this Statement is not filed before the mailing date of a first Office Action on the merits, the required certification is given below or, in the absence thereof, the Office is authorized to charge the required fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 19-0083 for consideration of this Statement.

(c)  After the period set forth in 37 C.F.R. 1.97(b) but before the mailing date of either a final action or a notice of allowance.

(1)  The required certification is given below,  
or

(2)  Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(p) for consideration of this Statement, or

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Petition hereby is made for consideration of this Statement and the required certification indicated below.

(1)  Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(i)(1), or

(2)  Charge the fee set forth in 37 C.F.R.  
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2. Certification (if applicable)

(a)  The undersigned hereby certifies that each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this Statement.

Respectfully submitted at Canton, Ohio, this 25<sup>th</sup> of August, 2003.

SAND & SEBOLT



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Attorney Docket: 1796034US1AP

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to  
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*Karina A. Butler*

Karina A. Butler

AUG 27 2003

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>			
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Sheet	1	of	3
		Complete if Known	
		Application Number	10/626,970
		Filing Date	July 25, 2003
		First Named Inventor	Dennis D. Belden, Jr.
		Art Unit	
		Examiner Name	
		Attorney Docket Number	1796034US1AP

U.S. PATENT DOCUMENTS				
Examiner Initials*	Cite No.	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document
		Number-Kind Code* (if known)	MM-DD-YYYY	
	1	US-445,755	02-03-1891	W.G. King
	2	US-654,533	07-24-1900	A. Garner
	3	US-770,387	09-20-1904	W. Richards
	4	US-783,885	02-28-1905	H.C. McDougall
	5	US-809,213	01-02-1906	F.M. Osgood
	6	US-833,446	10-16-1906	P.A. Degener
	7	US-880,723	03-03-1908	J.M. Cumming
	8	US-1,343,962	06-22-1920	J. Byrne
	9	US-1,937,295	11-28-1933	F.W. Rettenmeyer
	10	US-2,418,039	03-25-1947	W.W. Mays
	11	US-3,025,990	03-20-1962	A. Pancrazi
	12	US-3,804,284	04-16-1974	Moore et al.
	13	US-3,893,582	07-08-1975	Kowalik
	14	US-3,944,102	03-16-1976	Grau
	15	US-4,056,209	11-01-1977	Winkler et al.
	16	US-4,260,067	04-07-1981	Andruchiw
	17	US-4,279,353	07-21-1981	Honma
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	19	US-4,710,752	12-01-1987	Cordery
	20	US-4,775,061	10-04-1988	Coote

FOREIGN PATENT DOCUMENTS				
Examiner Initials*	Cite No.	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document
		Country Code* -Number* -Kind Code* (if known)	MM-DD-YYYY	
	21	EP 0,385,540	09-05-1990	N.V. Nedelandse
	22	EP 0,522,679	01-13-1993	Alpha Enterprises, Inc.
	23	EP 0,687,635	12-20-1995	Rainer Koeck, et al.
	24	FR 2,586,231	02-20-1987	Jean-Paul Begouen
	25	FR 2,608,285	06-17-1988	Bruno Bouan
	26	DE 2,121,739	11-09-1972	Metallwerke
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	28	DE 3,211,387	09-29-1983	Hebbelmann, Johannes
	29	GB 677,311	08-13-1952	Abraham Aladar Brody
	30	GB 2,082,552	03-10-1982	G.W. Burton

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. U.S.PTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 201.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>3</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>3</sup> Applicant is to place a check mark here if English language Translation is attached. Burden of stamping this form is estimated to take 2.0 hours to complete. <sup>3</sup> The will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Alexandria, VA 22313-1450.



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<p>Substitute for form 1449A/PTO  <b>TRADEMARK</b>  <b>INFORMATION DISCLOSURE</b>  <b>STATEMENT BY APPLICANT</b>                    (use as many sheets as necessary)</p>		<p><b>Complete if Known</b></p> <table border="1"> <tr> <td>Application Number</td> <td>10/626,970</td> </tr> <tr> <td>Filing Date</td> <td>July 25, 2003</td> </tr> <tr> <td>First Named Inventor</td> <td>Dennis D. Belden, Jr.</td> </tr> <tr> <td>Art Unit</td> <td></td> </tr> <tr> <td>Examiner Name</td> <td></td> </tr> </table>		Application Number	10/626,970	Filing Date	July 25, 2003	First Named Inventor	Dennis D. Belden, Jr.	Art Unit		Examiner Name	
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		Attorney Docket Number 1796034US1AP											

U.S. PATENT DOCUMENTS

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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Case No.*	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Paragraphs or Relevant Figures Appear	*#
		Country Code*	Number*	Kind Code*(if Answered)				
	42	WO	89-07076		08-10-1989	Stuckey, William C.		x
	43	WO	91/04201		04-04-1991	Pehr, Harold T.		x
	44	WO	92/12067		07-23-1992	Pehr, Harold, T.		x
	45	WO	94/08867		04-28-1994	Mouron, Jacques		
	46	WO	97/00819		01-09-1997	Bouan, Bruno		x
	47	WO	99/67149		12-29-1999	Michael, Robert, L., et al.		x
	48	AR	203.305		08-29-1975	Brilo		
	49	AR	212.291		06-15-1978	Cygon, S.A.C.I.F.I.		
	50	AR	214.228		05-15-1979	Klochko		
	51	AR	227.988		12-30-1982	Bonaventura, et al.		

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Sheet	3	of	3	

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